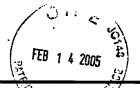


3629 +IFV

0001/PTO Rev. 10/95	I.S. Department of Commerce Patent and Trademark Office		Application Number			09/849,510			
				g Da	ite	May 4, 2001			
TRANSMITTAL FORM			First Named Inventor			Xi Li			
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number			3629				
			Examiner Name			Richard S. Woo			
Total Number of Pages	otal Number of Pages in This Submission 19		Attorney Docket Number			21790-08992			
	ENCL	OSURES	(ch	reck	all that apply	()	-		
Fee Transmittal Fo	*** ** ***		<u> </u>	П	Issue Fee Transr				
Check Enclosed				Letter to Chief Draftsperson					
Return Receipt Pos	stcard			Formal Drawing(s):					
Response to Notice	e to File Missing Par	ts		[ ] Sheet(s) of Figure(s) [ ]					
	ordation Cover Shee				Appeal Commun		- 1		
Declaration				Interferences					
Power of Attorney			Appeal Communication to Group						
Application Data S			(Appeal Notice, Brief, Reply Brief)						
	sure Statement & PT		Certified Copy of Priority Document(s)						
Copies of IDS Cited References  Request for Corrected Filing Receipt			After Allowance Communication to Group						
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Amendment C / Response: 16 pages				Ш					
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Status Request						· <u>-</u>			
Revocation and Su	bstitute Power of Att	torney							
REMARKS:		·							
	SIGNAT	TURE OF	ATT	ORI	NEY OR AGEN	NT			
Signature:	(,)	VX							
Attorney/Reg. No.: Jennifel R. Bush, Reg. No. 50,784 Dated: February 10, 2005									
	(	CERTIFICA	ATE	OF	MAILING				
I hereby certify that this corre first class mail in an envelop the date shown below. If the Postal Service "Express Mai	espondence, including the addressed to: Mail Storess Mail Mailing N	ne enclosures op Amendmen umber is filled	dentific t, Comi	ed ab missi w, the	ove, is being deposit oner for Patents, P.C en this corresponden	. Box 1450, Alexa	andria, VA 22313-1450 on		
Signature:	CA	4							
Typed or Printed Name:	Jennifer R. Bus	h				Dated:	February 10, 2005		
Express Mail Mailing Nu	mber (optional):								



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Application Number 09/849,510
Filing Date May 4, 2001
First Named Inventor Xi Li
Examiner Name Richard S. Woo
Art Unit 3629
Attorney Docket No. 21790-08992

Complete if Known

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☒ None ☐ Deposit Account:		3. ADDITIONAL FEES			s				
Deposit Account Number	19-2555	Large	Entity	Small	Entity	ity Fee Description			
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)				
The Commissioner is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☐ Credit any overpayments		1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet			
Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application		1053 1812	130 2,520	1053	130 2,520	Non-English specification  For filing a request for ex parte reexamination			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1804 1805	920* 1,840*	1804 1805	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action			
FEE CALCULATION		1251	120	2251	60	Extension for reply within first month			
BASIC FILING FEE     Large Entity Small Entity	•	1252 1253	450 1020	2252 2253	225 510	Extension for reply within second month Extension for reply within third month			
Fee Fee Fee Fee Code (\$) Code (\$)	Fee Description Fee Paid	1254 1255 1401	1,590 2,160 500	2254 2255 2401	795 1,080 250	Extension for reply within fourth month Extension for reply within fifth month			
		1401 1402 1403	500 500 1000	2401	250 250 500	Notice of Appeal Filing a brief in support of an appeal Request for oral hearing			
		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
		1452	500	2452	250	Petition to revive - unavoidable			
SUBTOTAL (1) (\$)		1453	1,500	2453	750	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1501	1,400	2501	700	Utility issue fee (or reissue)			
Extra	a Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee			
Total Claims -20**=	x =	1503	1100	2503	550	Plant issue fee			
Independent Claims	x =	1460		1460		Petitions to the Director			
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications			
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))			
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))			
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)			
	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other f	fee (specify	y)					
suвтот	AL (2) (\$)					SUBTOTAL (3) (\$)			
"or number previously paid, if g	reater; For Reissues, see above	•Reduc	ed by Basic	Filing F	ee Paid				

			Complete (if applicable)
Name (Print/Type)  Jennifer R. Bush	Registration No. (Attorney/Agent) 50,784		Telephone: (650) 335-7213
Signature	1B/	Date	February 10, 2005



#### IN THE

## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Xi Li, Keng-Shao Chang, John J. Dooley, Abhijit A. Deshpande,

Thomas Alan Greene, and Darren Jeffrey Hakeman

SERIAL NO.:

09/849,510

FILING DATE:

May 4, 2001

TITLE:

Supply Chain Visibility for Real-Time Tracking of Goods

**EXAMINER:** 

Richard S. Woo

GROUP ART UNIT:

3629

ATTY. DKT. NO.:

21790-08992

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 0, 2005

By:

Jennifer R Bush, Reg. No. 50,784

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## AMENDMENT C AND RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

This amendment for the patent application identified above is in response to the Office Action dated November 18, 2004, which set a shortened statutory period for response that expires on February 17, 2005.

Kindly amend this application as indicated herein.